Preliminary Classification<sup>-</sup>

**Proposed Class** 

Subclass

NOTE

"All applicants are requested to include a preliminary classification on newly filed patent applications The preliminary classification, preferably class and subclass designations, should be identified in the upper right-hand comer of the letter of transmittal accompanying the application papers, for example 'Proposed Class 2, subclass 129' " MPEP § 601, 7th ed

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Box Patent Application** Assistant Commissioner for Patents Washington, D.C. 20231

### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s):

Dave Jaussaud, Kerry Weston, Armin Pfenninger, Beat Kleeb

WARNING: 37 C F R § 1 41(a)(1) points out:

"(a) A patent is applied for in the name or names of the actual inventor or inventors

"(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1 63, except as provided for in § 1 53(d)(4) and § 1 63(d) If an oath or declaration as prescribed by § 1 63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 153(b), unless a petition under this paragraph accompanied by the fee set forth in § 117(i) is filed supplying or changing the name or names of the inventor or inventors "

For (title).

PROCESS FOR PRODUCTION OF MOLECULAR SIEVE ADSORBENT BLENDS

#### CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory,

Express Mail certification is optional)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231

37 C.F.R. § 1.8(a) with sufficient postage as first class mail 37 C.F.R. § 1.10 \*

as "Express Mail Post Office to Addressee" ET998037815US

(mandatory) Mailing Label No -

#### TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703)

Signature

Date: Jan 22, 2002

Dorothy Goodlett

(type or print name of person certifying)

<sup>\*</sup> Only the date of filing (§ 1 6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness See § 1 703(f) Consider "Express Mail Post Office to Addressee" (§ 1 10) or facsimile transmission (§ 1 6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations

#### 1. Type of Application

This new application is for a(n)

(check one applicable item below) Original (nonprovisional) □ Design ☐ Plant WARNING: Do not use this transmittal for a completion in the U.S. of an International Application under 35 USC § 371(c)(4), unless the International Application is being filed as a divisional, continuation or continuation-in-part application WARNING: Do not use this transmittal for the filing of a provisional application NOTE If one of the following 3 items apply, then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION Divisional. □ Continuation ☐ Continuation-in-part (C-I-P)

# 2. Benefit of Prior U.S. Application(s) (35 U.S C. §§ 119(e), 120, or 121)

NOTE A nonprovisional application may claim an invention disclosed in one or more prior filed copending nonprovisional applications or copending international applications designating the United States of America In order for a nonprovisional application to claim the benefit of a prior filed copending nonprovisional application or copending international application designating the United States of America, each prior application must name as an inventor at least one inventor named in the later filed nonprovisional application and disclose the named inventor's invention claimed in at least one claim of the later filed nonprovisional application in the manner provided by the first paragraph of 35 USC § 112 Each prior application must also be:

- (i) An international application entitled to a filing date in accordance with PCT Article 11 and designating the United States of America, or
  - (ii) Complete as set forth in § 151(b), or
- (iii) Entitled to a filing date as set forth in § 1 53(b) or § 1 53(d) and include the basic filing fee set forth in § 116, or
- (iv) Entitled to a filing date as set forth in § 1 53(b) and have paid therein the processing and retention fee set forth in § 1 21(I) within the time period set forth in § 1 53(f)

37 CFR § 1 78(a)(1)

NOTE If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICA-TION(S) CLAIMED

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U S C §§ 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. §§ 120, 121 or 365(c) (35 USC § 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 USC §§ 119, 365(a) or 365(b)) For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach See Notice of April 14, 1995, 60 Fed Reg 20,195, at 20,205

(New Application Transmittal [4-1]—page 2 of 12)

	and the construction of Forders
	When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional application must be filed prior to the Saturday, Sunday, or Federal holiday within the District of Columbia. See 37 C.F.R. § 1.78(a)(3).
	The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
3. Papers	s Enclosed
A. Req (Des	uired for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 sign) Application
28	_Pages of specification
4	_Pages of claims
	Sheets of drawing
WARNING	DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. For comments on proposed then-new 37 C.F.R. § 1.84, see Notice of March 9, 1988 (1990 O.G. 57.62)
in tr o	dentifying indicia, if provided, should include the application number or the title of the invention, wentor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed in the back of each sheet of drawing a minimum distance of 1.5 cm. (% inch) down from the top of the page " 37 C.F.R. § 1.84(c)).
	(complete the following, if applicable)
	The enclosed drawing(s) are photograph(s). Three (3) sets of photographs and a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)" are attached. 37 C.F.R. § 1.84(b).
	The enclosed drawing(s) are in color. Three (3) sets of color drawings and a "PETITION TO ACCEPT COLOR DRAWING(S)" are attached. 37 C.F.R. §§ 1.84(a)(2) and 1.84(b).
	formal
	informal
B. Ot	her Papers Enclosed
	Pages of declaration and power of attorney
	1 Pages of abstract
<del></del>	Other
	itional papers enclosed
7. /	
ر	Description this applications claims before
	calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
	Add the claims shown on the attached amendment. (Claims added have been numbered consecutively following the highest numbered original claims.)
	(New Application Transmittal [4-1]—page 3 of 12)

5.

	Preliminary Amendment
	Information Disclosure Statement (37 C.F.R. § 1.98)
	Form PTO-1449 (PTO/SB/08A and 08B)
	Citations
	Protection of Biological Deposit
	Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or pertaining acid sequence
	Authorization of Attorney(s) to Accept and Follow Instructions from Representative
П	Special Comments
П	Other
NOTE: A	A newly executed declaration is not required in a continuation of divisional application being filed is the prior nonprovisional application contained a declaration as required, the application being filed is the prior nonprovisional application contained a declaration as required, the application being filed in the prior application (showing application being filed, and a copy of the executed declaration filed in the prior application (showing application being filed, and a copy of the executed declaration filed in the prior application by a statement requesting deletion of the names of person(s) who are not inventors of the application by a statement requesting deletion of the names of person(s) who are not inventors of the application by a statement requesting deletion in the prior application was filed under § 1.47, then a copy of that being filed. If the declaration in the prior application was filed under § 1.47, then a copy of that declaration must be filed accompanied by a copy of the decision granting § 1.47 status or, if a nonsigning declaration must be filed accompanied by a copy of the decision granting § 1.47 status or, if a nonsigning declaration must be filed accompanied by a copy of the decision granting § 1.47 status or, if a nonsigning declaration must be filed accompanied by filed. See 37 C.F.R. §§ 1.63(d)(1)–(3).  A declaration filed to complete an application must be executed, identify the specification to which it is directed, identify each inventor by full name including family name and at least one given name, without abbreviation together with any other given name or initial, and the residence, post office address and country or citizenship of each inventor, and state whether the inventor is a sole or joint inventor. 37 C.F.R. § 1.63(a)(1)–(4).  "The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth
_	this paragraph accompanied by the local paragraph a
L	Executed by
	(check all applicable boxes)
	inventor(s).
	legal representative of inventor(s). 37 C.F.R. §§ 1.42 or 1.43.
	joint inventor or person showing a proprietary interest on benalt of inventor
	This is the petition required by 37 C.F.R. § 1.47 and the statement required by 37 C.F.R. § 1.47 is also attached. See item 13 below for fee.
ſ	Not Enclosed.
NOTE:	Where the filing is a completion in the U.S. of an International Application or where the completion of the U.S. application contains subject matter in addition to the International Application, the application the U.S. application contains subject matter in addition to the International Application, the application may be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE may be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.

(New Application Transmittal [4-1]—page 4 of 12)

	Application is made by a person authorized under 37 C.F.R. § 1.41(c) on behalf of all the above named inventor(s).
(The declar	ation or oath, along with the surcharge required by 37 C.F.R. § 1.16(e) can be filed subsequently).
	Showing that the filing is authorized.  (not required unless called into question. 37 C.F.R. § 1.41(d))
6. Inventors	hip Statement
o' si	the named inventors are each not the inventors of all the claims an explanation, including the wnership of the various claims at the time the last claimed invention was made, should be ubmitted.
The invento	rship for all the claims in this application are:
	e same.
	or
□ No the	ot the same. An explanation, including the ownership of the various claims at etime the last claimed invention was made,
	is submitted.
	will be submitted.
7. Languag	e sther then English
An E requi	poplication including a signed oath or declaration may be filed in a language other than English. opplication including a signed oath or declaration may be filed in a language other than English. only in population and the processing fee of \$130.00 and the processing fee of \$13
Ū E	nglish
□ N	on-English
	The attached translation includes a statement that the translation is accurate. 37 C.F.R. § 1.52(d).
8. Assignm	
₽ A	an assignment of the invention to
_	1600 West Hill Street, Louisville, Reneral
נ	is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.
Į.	will follow.
	an assignment is submitted with a new application, send two separate letters-one for the application to one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).
	A newly executed "CERTIFICATE UNDER 37 C.F.R. § 3.73(b)" must be filed when a continuation- in-part application is filed by an assignee. Notice of April 30, 1993, 1150 O.G. 62-64.
	This is a $\square$ continuation $\square$ divisional application and the assignment
	document for the parent application 0 / was filed
	on
	Reel
	Frame
	(Alam Application Transmittal 14-11—Dage 5 Of 12)

Country	Appln. No.		Filed
Country			Filed
Country	Appln. No.	•	
Country	Appln. No.		Filed
rom which priority is claime	d ,		
is (are) attached.			
☐ will follow.			
de de maion 27 C E R &	1 55(a) and 1.03.		nust be referred to in the oath
U.S. application or Interr § 120 is itself entitled to PAGES FOR NEW APPL CLAIMED.	national Application from which priority from a prior foreign a ICATION TRANSMITTAL WI	antication the	filed directly relates. If any par ion claims benefit under 35 U.S n complete item 18 on the ADL OF PRIOR U.S. APPLICATION
10. Fee Calculation (37 C			
A. (7) Regular applicati	on		
	CLAIMS AS FI	LED	
Number filed	Number Extra	Rate	Basic Fee 37 C.F.R. § 1.16( x\$740x88 \$7
Total Claims (37 C.F.R. § 1.16(c)) 24	- 20 = 4	× \$ 18	.00 \$72.00
Independent Claims (37 C.F.R. § 1.16(b)) 2	- 3 = 0	× \$ 80	0.00
Multiple dependent claim(s if any (37 C.F.R. § 1.16(d)	),  ))	+ \$270	0.00
	ncelling extra claims is	enclosed.	
☐ Amendment car			-1 <del>-</del> -
☐ Amendment car ☐ Amendment de	leting multiple-depende	encies is en	ciosea.
☐ Amendment de	leting multiple-depende laims is not being paid	at this time	<del>)</del> .
☐ Amendment de ☐ Fee for extra clair  NOTE: If the fees for extra clair  prior to the expiration	leting multiple-depende aims is not being paid ms are not paid on filing they n of the time period set for re	at this time	e. the claims cancelled by amend Patent and Trademark Office i
☐ Amendment de ☐ Fee for extra clair  NOTE: If the fees for extra clair  prior to the excitation	leting multiple-depender laims is not being paid ms are not paid on filing they if of the time period set for recy, 37 C.F.R. § 1.16(d).	at this time must be paid or sponse by the	e. the claims cancelled by amend
Amendment de  Fee for extra clai  prior to the expiration notice of fee deficience  B. Design applica	leting multiple-depender laims is not being paid ms are not paid on filing they not the time period set for recy. 37 C.F.R. § 1.16(d).  Filing Fee Calculation	at this time must be paid or sponse by the	e. the claims cancelled by amend Patent and Trademark Office i
Amendment de Fee for extra clai prior to the expiration notice of fee deficience	leting multiple-depender laims is not being paid ms are not paid on filing they not the time period set for recy. 37 C.F.R. § 1.16(d).  Filing Fee Calculation	at this time nust be paid or sponse by the on	e. the claims cancelled by amend Patent and Trademark Office i

C.		Plant application
••	_	(\$480.00-37 C.F.R. § 1.16(g))

### Filing fee calculation

\$
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## 11. Assertion of Small Entity Status

☐ Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27

NOTE: 37 C.F.R. § 1.27(c) deals with the assertion of small entity status, whether by a written specific declaration thereof or by payment as a small entity of the basic filing fee or the fee for the entry into the national phase and states:

"(c) Assertion of small entity status. Any party (person, small business concern or nonprofit organization) should make a determination, pursuant to paragraph (f) of this section, of entitlement to be accorded small entity status based on the definitions set forth in paragraph (a) of this section, and must, in order to establish small entity status for the purpose of paying small entity fees, actually make an assertion of entitlement to small entity status, in the manner set forth in paragraphs (c)(1) or (c)(3) of this section, in the application or patent in which such small entity fees are to be paid.

- (1) Assertion by writing. Small entity status may be established by a written assertion of entitlement to small entity status. A written assertion must:
  - (i) Be clearly identifiable;
  - (ii) Be signed (see paragraph (c)(2) of this section); and
  - (iii) Convey the concept of entitlement to small entity status, such as by stating that applicant is a small entity, or that small entity status is entitled to be asserted for the application or patent. While no specific words or wording are required to assert small entity status, the intent to assert small entity status must be clearly indicated in order to comply with the assertion requirement.
- (2) Parties who can sign and file the written assertion. The written assertion can be signed by:
  - (i) One of the parties identified in § 1.33(b) (e.g., an attorney or agent registered with the Office), § 3.73(b) of this chapter notwithstanding, who can also file the written assertion;
  - (ii) At least one of the individuals identified as an inventor (even though a § 1.63 executed oath or declaration has not been submitted), notwithstanding § 1.33(b)(4), who can also file the written assertion pursuant to the exception under § 1.33(b) of this part; or
  - (iii) An assignee of an undivided part interest, notwithstanding §§ 1.33(b)(3) and 3.73(b) of this chapter, but the partial assignee cannot file the assertion without resort to a party identified under § 1.33(b) of this part.
- (3) Assertion by payment of the small entity basic filing or basic national fee. The payment, by any party, of the exact amount of one of the small entity basic filing fees set forth in §§ 1.16(a), (f), (g), (h), or (k), or one of the small entity basic national fees set forth in §§ 1.492(a)(1), (a)(2), (a)(3), (a)(4), or (a)(5), will be treated as a written assertion of entitlement to small entity status even if the type of basic filing or basic national fee is inadvertently selected in error.
  - (i) If the Office accords small entity status based on payment of a small entity basic filing or basic national fee under paragraph (c)(3) of this section that is not applicable to that application, any balance of the small entity fee that is applicable to that application will be due along with the appropriate surcharge set forth in § 1.16(e), or § 1.16(l).
  - (ii) The payment of any small entity fee other than those set forth in paragraph (c)(3) of this section (whether in the exact fee amount or not) will not be treated as a written assertion of entitlement to small entity status and will not be sufficient to establish small entity status in an application or a patent."

	37 C.F.R. § 1.27(c)(4): "Assertion required in related, continuing, and reissue applications. Status as a small entity must be specifically established by an assertion in each related, continuing and reissue application in which status is appropriate and desired. Status as a small entity in one application or patent does not affect the status of any other application or patent, regardless of the relationship of the applications or patents. The refiling of an application under § 1.53 as a continuation, divisional, or continuation-in-part application (including a continued prosecution application under § 1.53(d)), or the filing of a reissue application, requires a new assertion as to continued entitlement to small entity status for the continuing or reissue application."
WARNING:	"Small entity status must not be established when the person or persons signing the statement can unequivocally make the required self-certification." M.P.E.P., § 509.03 (emphasis added).
	(complete the following, if applicable)
	status as a small entity was asserted in the prior application
	filed on, from which benefit
- i:	s being claimed for this application under:
•	35 U.S.C. § 🗍 119(e)
	120
	□ 121
	☐ 365(c)
	and which status as a small entity is still proper and asserted for this application.
•	A copy of the written assertion of small entity filed in the prior application is included.
esta	efund based on establishment of small entity status, of a portion of fees timely paid in full prior to ablishing status as a small entity may only be obtained if an assertion under § 1.27(c) and a request a refund of the excess amount are filed within three months of the date of the timely payment of full fee. The three-month time period is not extendable under § 1.136. 37 C.F.R. § 1.28(a).
	Filing Fee Calculation (50% of A, B or C above)
	\$
12. Requ	est for International-Type Search (37 C.F.R. § 1.104(d))
	(complete, if applicable)

Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13.

3. Fee i	Paym	ent Being Made at This Time	•
		Enclosed	
/		No filing fee is to be paid at this time. (This and the surcharge required by 37 C.F.R. subsequently.)	§ 1.16(e) can be paid
团	Encl	osed	e 812.00
	Ø	Filing fee	\$
		Recording assignment (\$40.00; 37 C.F.R. § 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".)	\$
		Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 C.F.R. §§ 1.47 and 1.17(i))	\$
		For processing an application with a specification in a non-English language (\$130.00; 37 C.F.R. §§ 1.52(d) and 1.17(k))	\$
		Processing and retention fee (\$130.00; 37 C.F.R. §§ 1.53(d) and 1.21(l))	\$
		Fee for international-type search report (\$40.00; 37 C.F.R. § 1.21(e))	\$
NOTE: 37 C.F.R. § 1.21(I) establishes a fee for processing and retaining any application that is abandoned for failing to complete the application pursuant to 37 C.F.R. § 1.53(f) and this, as well as the changes to 37 C.F.R. §§ 1.53 and 1.78(a)(1), indicate that in order to obtain the benefit of a prior U.S. application, either the basic filing fee must be paid, or the processing and retention fee of § 1.21(I) must be paid, within 1 year from notification under § 53(f).			
		Total fees enclosed	\$812.00
14. Me	thod	of Payment of Fees	012.00
V	Atl	ached is a 🗹 check 🗆 money order in the amou	nt of \$
Z	\ Au	thorization is hereby made to charge the amount	of \$
	12	to Deposit Account No03-3420	
		to Credit card as shown on the attached credit of tion form PTO-2038.	
WARNI	NG: (	Credit card information should not be included on this form as	it may become public.
Ū	2 CI in	narge any additional fees required by this paper of the manner authorized above.	or credit any overpayment

5. Aut	hori	zation to Charge Additional Fees
WARNIN	IG:	If no fees are to be paid on filing, the following items should not be completed.
WARNIN	IG:	Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.
đ	fo	ne Office is hereby authorized to charge, in the manner shown above, the discount of the statement of the entire endency of this application.
	2	, 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
	₫	37 C.F.R. § 1.16(b), (c) and (d) (presentation of extra claims)
NOTE:	must set fo to au	use additional fees for excess or multiple dependent claims not paid on filing or on later presentation only be paid or these claims cancelled by amendment prior to the expiration of the time period or response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.16(d)), it might be best not atthorize the PTO to charge additional claim fees, except possibly when dealing with amendments final action.
		37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
		37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a)).
		and a second sec
NOTE:	or fut as in chan cons an e. § 1. requ	A written request may be submitted in an application that is an authorization to treat any concurrent ture reply, requiring a petition for an extension of time under this paragraph for its timely submission, corporating a petition for extension of time for the appropriate length of time. An authorization to ge all required fees, fees under § 1.17, or all required extension of time fees will be treated as a structive petition for an extension of time in any concurrent or future reply requiring a petition for xtension of time under this paragraph for its timely submission. Submission of the fee set forth in 17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply iring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. 136(a)(3).
	C	37 C.F.R. § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b))
NOTE:	of a	re an authorization to charge the issue fee to a deposit account has been filed before the mailing Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time nailing the notice of allowance. 37 C.F.R. § 1.311(b).
NOTE:	37 C entit fee. ever	C.F.R. § 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small by status must be filed in the application prior to paying, or at the time of paying, the issue " From the wording of 37 C.F.R. § 1.28(b), (a) notification of change of status must be made if the fee is paid as "other than a small entity" and (b) no notification is required if the change of another small entity.
		ctions as to Overpayment
NOTE:	2 10:	. Amounts of twenty-five dollars or less will not be returned unless specifically requested within asonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may returned by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).
0	<b>V</b>	Credit Account No
Ò	) F	Refund

Reg. No. 31,945

Tel. No. (502) 589-4215

Customer No.

MA

SIGNATURE OF PRACTITIONER

Scott R. Cox

(type or print name of attorney)

400 West Market St., Suite 2200

P.O. Address

Louisville, KY 40202

(New Application Transmittal [4-1]-page 11 of 12)

Incom	poration by reference of added pages
(cl pri sta the	neck the following item if the application in this transmittal claims the benefit of ior U.S. application(s) (including an international application entering the U.S. age as a continuation, divisional or C-I-P application) and complete and attach a ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF CHORN U.S. APPLICATION(S) CLAIMED)
	Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed
	Number of pages added
П	Plus Added Pages for Papers Referred to in Item 4 Above
L	Number of pages added
	Plus added pages deleting names of inventor(s) named in prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.
	Number of pages added
	Plus "Assignment Cover Letter Accompanying New Application"
L	Number of pages added
/ State	ement Where No Further Pages Added
(it tl	f no further pages form a part of this Transmittal, then end this Transmittal with his page and check the following item)
EY	This transmittal ends with this page.
	(cl pr sta th Pl